

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date

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Mary E. Anza  
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Mary E. Anza  
(Signature of person mailing paper)

10/04/02  
01/11/02  
JC821 U.S. PTO

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Walter Clark Milliken et al.

For: : SYSTEMS AND METHODS FOR POINT OF INGRESS TRACEBACK OF  
A NETWORK ATTACK

Filed: : Herewith

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 and 1.99**

Assistant Commissioner for Patents  
Washington, D.C. 20231

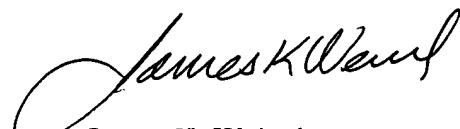
Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.99 in the above-identified application for consideration by the Patent Office. A listing of the cited documents is also enclosed, as well as, for the Examiner's convenience, copies of the documents.

Applicant does not intend to represent that the document submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicant requests that the document submitted herein be considered and made of record in this application.

Respectfully submitted,



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EXPRESS MAIL NO. ET925075980US		Atty. Docket No. 01-4001	Serial No. Unassigned			
INFORMATION DISCLOSURE CITATION  (Use several sheets if necessary)		Applicant  Walter Clark Milliken et al.				
		Filing Date Herewith	Group Unassigned			
U. S. PATENT DOCUMENTS						
*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
BA	Stefan Savage, David Wetherall, Anna Karlin and Tom Anderson, Practical Network Support for IP Traceback, Proc. ACM Sigcomm 2000, Stockholm, Sweden, Aug. 2000, 12 pages.					
BB	Beverly Schwartz, Alden W. Jackson, W. Timothy Strayer, Wenyi Zhou, R. Dennis Rockwell and Craig Partridge, Smart Packets: Applying Active Networks to Network Management, ACM Trans. On Computer Systems, Vol. 18, No. 1, Feb. 2000, Pages 67-88.					
BC	Craig Partridge, Luis Sanchez and W. Timothy Strayer, METHOD AND APPARATUS FOR IDENTIFYING A PACKET, U.S. Patent Application 00-4039A (U.S. Serial No. 09/881,145), filed 6/14/01 (39 Pages).					
BD	Luis Sanchez, W. Timothy Strayer, and Craig Partridge, METHOD AND APPARATUS FOR TRACING PACKETS, U.S. Patent Application 00-4039B (U.S. Serial No. 09/881,074) filed 6/14/01 (34 Pages).					
Examiner				Date Considered		
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>						

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